03-46

# FCC Wireless Telecommunications Bureau Assignments of Authorization and Transfers of Control

Approved by OMB 3060 - 0800 See instructions for public burden estimate

Submitted 01/10/2003 at 02:46PM

2a) If this request is for an Amendment or Withdrawal, enter the File Number 01 the pending application currently on file with the FCC.

File Number:

Oh) Ella numbers of related conding applications oursettly on file with the ECC

#### **Type of Transaction**

- 3a) Is this a pro forma assignment of authorization or transfer of control? No
- 3b) If the answer to Item 3a is 'Yes', is this a notification of a proforma transaction being filed under the Commission's forbearance procedures for telecommunications licenses?

4) For assignment of authorization only, is this a partition and/or disaggregation? Yes

- 5a) Does this filing request a waiver of the Commission rules?
- If 'Yes', anach an exhibit providing the rule numbers and explaining circumstances. No
- 5b) If a feeable waiver request is attached, multiply the number of stations (can signs) times the number of rule sections and enter the result:
- 6) Are anachments being filed with this application? Yes
- <sup>1</sup> 7a) Does the transaction that is the subject of this application also involve transfer or assignment of other wireless licenses held by the assignorhransferor or affiliates of the assignorhransferor(e.g., parents, subsidiaries, or commonly controlled entities) that are not lincluded on this form and for which Commission approval is required? Yes
- 7b) Does the transaction that is the subject of this application also involve transfer or assignment of non-wireless licenses that are not included on this form and for which Commission approval is required? No

#### **Transaction Information**

- 8) How will assignment of authorization or transfer of control be accomplished? Spectrum exchange
- If required by applicable rule, attach as an exhibit a statement on how control is to be assigned or transferred, along with copies of lany pertinent contracts, agreements, instruments, certified copies of Court Orders, etc.
- (9) The assignment of authorization or transfer of control of license is: Voluntar

#### Licensee/Assignor Information

10) FCC Registration Number (FR	N): 000422728	6	
11) First Name (ifindividual):	MI:	Last Name:	Suffix:
12) Entity Name (if not an individual) 13) Attention To: David C. Jatlow		lolding Corp. II, L.L.C.	- w 1999
14) P.O. Box:	And / Or	15) Street Address: 1	150 Connecticut Avenue. N.W., 4th Floor
16) City: Washington		17) State: DC	18) Zip Code: 20036
19) Telephone Number: (202)223-	9222	20) FAX Number: (20	02)223-9095

21) E-Mail Address: david jatlow@attws:com

# 22) Race, Ethnicity, Gender of Assignor/Licensee (Optional)

•	-	_	•			

Hace:	American Indian or Alaska Native:	Asian:	Black or African-American:	Native Hawaiian or Other Pacific Islander:	White:
Ethnicity:	Hispanic or Latino:	Not Hispanic or Latino:			
Gender:	Female:	Male:			

I	23) FCC	Registration_	Number	(FRN	):

24) First Name (if individual):	Mi:	Last Name:	Suffix:	
25) Entity Name (if not an individual):				
26) P.O. Box:	,/And/ Or	27) Street Addres	ss:	
28) City:		(29) Slate:	30) Zin Code:	i

32) FAX Number:

31) Telephone Number:

(33) E-Mail Address:

	and the second s	- Anna Anna Anna Anna Anna Anna Anna Ann		
35) Company Name:				VIII
36) P.O. Box:	/And <b>∎</b> Or	37) Street Address	S:	
38) City:	The second of	39) State:	40) Zip Code:	VIII
41) Telephone Number:		42) FAX Number:	Lancacy	
	<b>M</b>			

# Assignee/Transferee Information

4) The Assignee is a(n): Partnership			
45) FCC Registration Number (FRN): 00	001842921		
46) First Name (if Individual):	(MI:	Last Name:	Suffix:
47) Entity Name (if other than individual	): Houston Cellu	lar Telephone Compan	y, <b>L.P.</b>
48) Name of Real Party in Interest:			49) TIN: <b>L00233205</b>
50) Alieniich To: Rehye E: Abeinathy			
51) P O Bôx:	Nad (Or	(E2) Strast Address:	17330 Preston Road; Suite 100A
53) City: Dallas		54) State: <b>TX</b>	55) Zip Code: <b>75252</b>
56) Telephone Number: (972)733-2000		57) FAX Numbe (9	
58) E-Mail Address:			

59) First Name: David	MI: G	Last Name: Richards	Suffix:	
(88) Company Name: Cingula	ar Wireless LLC			
61) P.O. Box:	And / Or	62) Street Address. 5565 Glenr	dge Connector, Suite 17(	
63) City: Atlanta	-7'YY - W/A-	(64) State GA	I-65) Zip Code: 30342	··· <u></u>
[66] Telephone Number: (404		67) FAX Number: (404)236-557	5	. <u> </u>
68) E-Mail Address	w v			

# **Alien Ownership Questions**

69) Is the Assignee or Transferee a foreign government or the representative of any foreign government?	No
70) Is the Assignee or Transferee an alien or the representative of an alien?	No
71) Is the Assignee or Transferee a corporation organized under the laws of any foreign government?	No
72) Is the Assignee or Transferee a corporation of which more than one-fitth of the capital stock is owned of record or voted by aliens or their representatives or by a foreign government or representative thereof or by any corporation organized under the laws of a foreign country?	No
73) Is the Assignee or Transferee directly or indirectly controlled by any other corporation of which more than one-fourth of the capital stock is owned of record or voted by aliens, their representatives, or by a foreign government or representative thereof, or by any corporation organized under the laws of a foreign country? If 'Yes', attach exhibit explaining nature and extent of alien or foreign ownership or control.	No

74) Has the Assignee or Transteree or any party to this application had any FCC station authorization, license or construction permit revoked or had any application for an initial. modification or renewal of FCC station authorization, license, construction permit denied by the Commission? If 'Yes', attach exhibit explaining circumstances.	No
75) Has the Assignee or Transferee or any Party to this application. or any party directly or indtrectly controlling the Assignee or Transferee. or any party to this application ever been convicted of a felony by any state or federal court? It 'Yes'. attach exhibit explaining circumstances.	No
76) Has any court finally adjudged the Assignee or Transferee. or any party directly or <b>indirectly</b> controlling the Assignee or Transferee guilty of unlawfully monopolizing or <b>attempting</b> unlawfully 10 monopolize radio communication, directly or indirectly, through control of manufacture or sale of radio apparatus, exclusive traffic arrangement, or any other means or unfair methods	No
77) Is the Assignee or Transferee, or any party directly or indirectly controlling the Assignee or Transferee currently a party in	Yes

# 78) Race, Ethnicity, Gender of Assigneemransferee (Optional)

Race:	American Indian or Alaska Native:	Asian:	Black or African-American:	Native Hawaiian or Other Pacific Islander:	White:
Ethnicity:	Hispanic or Latino.	Not Hispanic or Latino:			
Gender:	Female:	Male:			

## Assignor/Transferor Certification Statements

- 1) The Assignor or Transferor certifies either (1) that the authorization will not be assigned or that control of the license will not be transferred until the consent of the Federal Communications Commission has been given, or (2) that prior Commission consent is not required because the transaction is subject to streamlined notification procedures for pro forma assignments and transfers by telecommunications carriers. See Memorandum Opinion and Order, 13 FCC Rcd. 6293(1998).
- 2) The Assignor or Transferor certifies that all statements made in this application and in the exhibits, attachments, or in documents incorporated by reference are material, are part of this application, and are true, complete, correct, and made in good faith.

79) Typed or Printed Name of Party Authorized to	o Sign		
First Name Douglas	MI: I	Last Name. Brandon	Suffix:
80) Title: Vice President of Manager			
Signature: Douglas IBrandon		!/EI) Date: 01/10/03	

# **Assigneemransferee Certification Statements**

1) m e Assignee or Transferee certifies either (1) that the authorization will not be assigned or that control of the license will not be transferred until the consent of the Federal Communications Commission has been given. or (2) that prior Commission consent is not required because the transaction is subject to streamlined notification procedures for proforma assignments and transfers by telecommunications carriers See Memorandum Opinion and Order, 13 FCC Rcd. 6293 (1998).
2) The Assignee or Transferee waives any claim to the use of any particular frequency or of the electromagnetic spectrum as against the regulatory power of the United States because of the Previous use of the same, whether by license orothenvise, and requests an authorization in accordance with this application.
3)The Assignee or Transferee certifies that grant of this application would not cause the Assignee or Transferee to be in violation of any pertinent cross-ownership, attribution, or spectrum cap rule:  "If me ai outcome
4) The Assignee or Transferee agrees to assume all obligations and abide by all conditions imposed on the Assignor or Transferor under the subject authorization(s). unless the Federal Communications Commission pursuant to a request made herein otherwise allows, except for liability for any act done by, or any right accured by, or any suit or proceeding had or commenced against the Assignor or Transferor prior to this assignment
5) The Assignee or Transferee certifies that all statements made in this application and in the exhibits. attachments. or In documents
6) The Assignee or Transferee certifies that neither it nor any other party to the application is subject to a denial of Federal benefits pursuant to Section 5301 of the Anti-Drug Abuse Act of 1998, 21 U.S.C § 862, because of a conviction for possession or distribution of a controlled substance. See Section 1.2002(b) of the rules, 47 CFR § 1.2002(b), for the definition of "party to the application" as used in this certification.
7) The applicant certifies that it either (1) has an updated Form 602 on file with the Commission, (2) is filing an updated Form 602 simultaneously with this application, or (3) is not required to file Form 602 under the Commission's rules.

	^
IMPRISONMENT (U.S. Code, Title 18, Section 1001) AND/OR REVOCATION OF	FANY STATION LICENSE OR CONSTRUCTION

85) Call Sign	86) Radio Service	87) Location Number	88) Path Number (Microwave only)	89) Frequency Number	90) Lower or Center Frequency (MHz)	91) Upper (MHz)	92) Constructed
WPOJ823	AL						

#### FCC Form 603 Schedule A

# Schedule for Assignments of Authorization and Transfers of Control in Auctioned Services

Approved by OMB 3060 - 0800 See instructions for public burden estimate

#### Assignments of Authorization

#### 1) Assignee Eligibility for Installment Payments (for assignments of authorization only)

Is the Assignee claiming the same category or a smaller category of eligibilityfor installment payments as the Assignor (as determined by the applicable rules governing the licenses issued to the Assignor)?

It 'Yes', is the Assignee applying for installment payments?

#### 2) Gross Revenues and Total Assets Information (if required) (for assignments of authorization only)

Year 1 Gross Revenues (current)	Year 2 Gross Revenues	Year 3 Gross Revenues	Trital Assets	
 -	'L	•		

#### 3) Certification Statements

#### For assignees Claiming Eligibility as an Entrepreneur Under the General Rule

Assignee certifies that they are eligible to obtain the licenses for which they apply.

For Assignees Claiming Eligibility as a Publicly Traded Corporation

Assignee certifies that they are eligible to obtain the licenses for which they apply and that they comply with the definition of a Publicly Traded Corporation, as set out in the applicable FCC rules.

For Assignees Claiming Eligibility Using a Control Group Structure

Assignee certifies that they are eligible to obtain the licenses for which they apply.

Assignee certifies that the applicant's sole control group member is a preexisting entity, ifapplicable.

For Assignees Claiming **Eligibility** as a Very Small Business, Very Small Business Consortium. Small Business. or as a Small Business Consortium

:/Assignee certifies that they are eligible to obtain the licenses for which they apply.

Assignee certifies that the applicant's sole control group member is a pre-existing entity, if applicable.

#### For Assignees Claiming Eligibility as a Rural Telephone Company

disclose all Parties to agreement(s) to partition licenses won this auction applicable FCC

#### **Transfers of Control**

#### 4) Licensee Eligibility (for transfers of control only)

As a result of transfer of Control. must the licensee now claim a larger or higher category of eligibility than was originally declared?

If 'Yes', the new category of eligibility of the licensee is

#### Certification Statement tor Transferees

Transferee certifies that the answers provided in Item 4 are true and correct.

FCC Form 603 Schedule B

Partition and Disaggregation Schedule

Approved by OMB 3060 - 0800 See instructions for public burder esi<sup>lm</sup>ate

07000 IA 116:0 IDC 1016-66-66-1	
1) Partitioner/Disaggregator Call Sign: WPOJ823	

# Geographic Area Partitioned

	Schedule C # Affached:	Table Vision
4) Population of Partitioned Area	S) Undefined Area to be Aritioned (Complete undefined (E) (G) (Complete satisfied (G)	2) Defined Area to be Partitioned

## 5) Spectrum Disaggregated (in MHz)

00000.09910	01982.50000
00000.01610	01902.50000
Opper Frequency	Lower Frequency
petsgated	Spectrum Die

# 6) Coverage Requirements - Partitioning

met by the end of the 10 year license term.	]
Partitionee certifies that only the substantial service requirement for renewal expectancy for the partitioned area must be	
requirements must be met for the entire license area.	S notidO
Partitioner certifies that the 5 year coverage requirements have been or will be met and that the 10 year coverage	(oN)
partitioned areas	I notiqO
Partitioner and Partitionee each certify that they will be subject to the same coverage requirements for their respective	(Yes)

# 7) Coverage Requirements - Disaggregation

(Yes) S noitqO	Disaggregator and Disaggregatee each certify that they will share responsibility for meeting the applicable coverage requirements for the entire license area.
( <b>oV</b> ) S noitqO	Disaggregator and Disaggregatee each certify that the Disaggregatee will maintain responsibility for meeting the applicable coverage requirements for the entire license area.
( <b>oM</b> ) f noitqO	Disaggregator and Disaggregatee each certify that the Disaggregator will maintain responsibility for meeting the applicable coverage requirements for the entire license area.

The copy resulting from Print Preview is intended to be used as a reference copy only and MAY NOT be submitted to the FCC as an application for manual filling.

## Attachment List

Contents	Description	Date	Attachment Type
1bq.498289787982894.pd.18710	Exhibit A - Lead Application	E 50/80/10!	Other
1bq.4682897878763885 <u>51</u> 91 <u>8</u> 110	Exhibit B - Response to Question 77		Other

# EXHIBIT A DESCRIPTION OF TRANSACTION AND PUBLIC INTEREST STATEMENT

#### **Lead Application Information**

This application is one of fourteen applications being filed in connection with the full and partial assignment of licenses between subsidiaries of AT&T Wireless Services, Inc. and subsidiaries of Cingular Wireless LLC, Meriwether Communications LLC, and Skagit Wireless, LLC. Applicants have designated the application being filed concurrently for the assignment of licenses from Ameritech Mobile Communications, LLC to AT&T Wireless Services of Hawaii, Inc. as the lead application for the transaction (ULS File No. 0001 146802). Accordingly. Applicants hereby incorporate by reference Exhibit A of the lead application.

#### **RESPONSE TO QUESTION 77**

Cingular Wireless LLC ("Cingular"), the real party in interest to the assignee, hereby submits this response to Question 77 of the FCC Form 603 concerning allegations against various indirect subsidiaries or affiliates of Cingular. While these cases may fall outside the scope of disclosures required by Question 77, they are nevertheless being reported out of an abundance of caution. In order to facilitate Commission's review of the pending litigation information, pages 3 and 4 of this exhibit are copies of the cases previously reviewed and approved for Cingular in connection with ULS File No. 0001085730, which was granted on December 28,2002. The current changes are underlined.

On March 7, 2000, In re Cellular Headquarters, Inc.; Cellular Headquarters, Inc. v. Comcast Cellular Communications, Inc., e! al., No. 00-1067, was filed in the District of New Jersey. Plaintiff, a current sales agent, alleges a breach of the terms of his franchise agreement due to changes in the commission structure for outside sales agents, the alleged failure to "promote" the sales force through advertising, and anticompetitive steps towards outside sales agents. The court conducted a settlement conference in November. The December 10. 2002 trial date has been cancelled. The parties will seek the bankruptcy court's approval of a tentative settlement agreement.

On January 18, 2001, Westside Cellular. Inc. d/h/a Cellnet of Ohio v. New Par, Case No. 1:01CV0505, was filed in Cuyahoga County, Ohio against the Cincinnati SMSA Limited Partnership ("CSLP"). AirTouch, Verizon, and others, for damages as a result of Defendants' alleged failure to offer to sell cellular services to Cellnet at the same rates as it sold such service to its retail affiliates. Plaintiff had previously obtained an adverse order on the issue of liability from the Ohio PUC against CSLP and AirTouch. A notice of appeal of the Ohio PUC decision was filed with the Ohio Supreme Court on June 25, 2001, asserting that the claims are preempted by federal law. On December 30, 2002, the Ohio Supreme Court affirmed the PUC order, rejecting Defendants' preemption arguments. The trial court likely will schedule trial for early 2003.

On November 6, 2003, Valley Cellular Inc. v. Cingular Wireless LLC, No. A442136, was filed in the District Court of Clark County, Nevada. Plaintiff is a former exclusive dealer of Defendant's products. On behalf of itself and similarly situated persons. Plaintiff alleges that Defendant inappropriately converted Plaintiffs business for itself by, among other things, opening retail locations immediately adjacent to Plaintiff's retail locations. Plaintiff alleges breach of contract, fraud, interference with prospective economic advantage, and conspiracy, including unfair competition. In response to a motion by Cingular. on February 14,2002, the Court ordered that the matter be resolved through binding arbitration pursuant to the parties' agency agreement. Although the Court declined to issue a preliminary injunction ordering Plaintiff to comply with the non-compete provision in the parties' agency agreement, it granted a

preliminary injunction enjoining Plaintiff from using Cingular's trademarks and confidential subscriber and business information. On March 20,2002. Cingular filed a Demand for Arbitration. Plaintiffhad twenty days to respond but railed to do so. The parties have agreed upon a single arbitrator.

On March 1, 2002. United States Cellular Telephone & Greater Tulsa, L.L.C. v. SBC Communications, Inc., No. 02CV0163C (J), was filed in the U.S. District Court for the Northern District of Oklahoma. SBC Communications, Inc. and SWB Telephone, L.P. ("SWBT") are defendants. The complaint alleges that because of land use (residential zoning) restrictions, the roof of a telephone building owned by Defendants is an "essential facility" to which Defendants have permitted access by an affiliate (Cingular) while denying access to Plaintiff. Cingular is not a defendant. Among other things, the complaint alleges that Defendants have violated § 2 of the Sherman Act by treating United States Cellular less favorably than Cingular with respect to the claimed "essential facility."

On or around August 23,1002, an action styled Millen. & al. v. AT&T Wireless PCS, LLC, et al. was filed in the U.S. District Court for the District of Massachusetts (Case No. 02-11689 RGS). Cingular Wireless LLC is a named defendant along with several other wireless companies. Plaintiffs seek to certify a class of wireless customers in the Boston metropolitan area. Plaintiffs allege that defendants market handsets and wireless services through tying arrangements and that defendants monopolize markets for handsets. Plaintiffs seek damages and injunctive relief under the Sherman Act.

On or around September 20. 2002, an action styled *Truong. et al v. AT&T Wireless PCS*, *LLC*, *et al.* was filed in the U.S. District Court for the Northern District of California (Case No. C 02 4580). This complaint is similar to the Millen complaint filed in the U.S. District Court for the District of Massachusetts. Cingular has not yet been served.

On or around September 27, 2002, an action styled Morales, et al. v. AT&T Wireless PCS, LLC., et al. was filed in the U.S. District Court for the Southern District of Texas (Case No. L-02-CV120). This complaint is similar to the Millen complaint field in the U.S. District Court for the District of Massachusetts. Cingular has received service.

On or around September 30. 2002, an action styled Beeler, et al. v. AT&T Cellular Services. Inc., et al. was filed in the U.S. District Court for the Northem District of Illinois (Case No. 02C 6975). This complaint is similar to the Millen complaint field in the U.S. District Court for the District of Massachusetts. Cingular has received service.

#### **RESPONSE TO QUESTION 77**

On March 7, 2000, *In re Cellular Headquarters, Inc.: Cellular Headquarters, Inc.* v. Comcast Cellular Communications, Inc., et al., No. 00-1067, was filed in the District of New Jersey. Plaintiff, a current sales agent, alleges a breach of the terms of his franchise agreement due to changes in the commission structure for outside sales agents, the alleged failure to "promote" the sales force through advertising, and anticompetitive steps towards outside sales agents. Pursuant to a Consent Scheduling Order, the discovery deadlines and trial dale have been rescheduled as follows: a settlement conference has been scheduled for November 1, 2002; and trial has been set for December IO. 2002.

On January 18, 2001, Westside Cellular, Inc. d/b/a Cellnet of Ohio v. New far, Case No. 1:01CV0505, was filed in Cuyahoga County, Ohio against the Cincinnati SMSA Limited Partnership ("CSLP"), AirTouch, Verizon, and others, for damages as a result of Defendants' alleged failure to offer to sell cellular services to Cellnet at the same rates as it sold such service to its retail affiliates. Plaintiff had previously obtained an adverse order on the issue of liability from the Ohio PUC against CSLP and AirTouch. A notice of appeal of the Ohio PUC decision was tiled with the Ohio Supreme Court on June 25,2001, asserting that the claims are preempted by federal law. Oral argument has been scheduled for November 13. This damages action has been remanded to the state court which has denied Defendants' request to stay the action pending the appeal. Trial is set for December 2, 2002.

On November 6, 2001. Valley Cellular Inc. v. Cingular Wireless LLC, No. A442136, was filed in the District Court of Clark County, Nevada. Plaintiff is a former exclusive dealer of Defendant's products. On behalf of itself and similarly situated persons, Plaintiff alleges that Defendant inappropriately converted Plaintiffs business for itself by, among other things, opening retail locations immediately adjacent to Plaintiffs retail locations. Plaintiff alleges breach of contract, fraud. interference with prospective economic advantage, and conspiracy, including unfair competition. In response to a motion by Cingular, on February 14. 2002, the Court ordered that the matter he resolved through binding arbitration pursuant to the parties' agency agreement. Although the Court declined to issue a preliminary injunction ordering Plaintiff to comply with the non-compete provision in the parties' agency agreement, it granted a preliminary injunction enjoining Plaintiff from using Cingular's trademarks and confidential subscriber and business information. On March 20,2002. Cingular filed a Demand for Arbitration. Plaintiff had twenty days to respond but failed to do so. The parties have agreed upon a single arbitrator.

On March 1. 2002, United States Cellular Telephone of Greater Tulsa, L L.C.v. SBC Communications, Inc., No. 02CV0163C (J), was filed in the U.S. District Court for the Northern District of Oklahoma. SBC Communications, Inc. and SWB Telephone. L.P. ("SWBT") are defendants. The complaint alleges that because of land use (residential zoning) restrictions, the

FCC Form 603 Exhibit B Page 3 of 4

roof of a telephone building owned by Defendants is an "essential facility" to which Defendants have permitted access by an affiliate (Cingular) while denying access to Plaintiff. Cingular 15 not a defendant. Among other things, the complaint alleges that Defendants have violated § 2 of the Sherman Act by treating United States Cellular less favorably than Cingular with respect to the claimed "essential facility."

On or around August 23, 2002, an action styled Millen. et al. v. AT&T Wireless PCS, LLC, et al. was filed in the U.S. District Court for the District of Massachusetts (Case No. 02-I1689 RGS). Cingular Wireless LLC is a named defendant along with several other wireless companies. Plaintiffs seek to certify a class of wireless customers in the Boston metropolitan area. Plaintiffs allege that defendants market handsets and wireless services through tying arrangements and that defendants monopolize markets for handsets. Plaintiffs seek damages and injunctive relief under the Sherman Act.

On or around September 20. 2002, an action styled Truong, et a) v. AT&T Wireless PCS, LLC. et al. was filed in the U.S. District Court for the Northern District of California (Case No. C 02 4580). This complaint is similar to the Millen complaint filed in the U.S. District Court for the District of Massachusetts. Cingular has not yet been served.

On or around September 27, 2002, an action styled Morales, et al. v. AT&T Wireless PCS, LLC., et al. was filed in the U.S. District Court for the Southern District of Texas (Case No. L-02-CV120). This complaint is similar to the Millen complaint field in the U.S. District Court for the District of Massachusetts. Cingular has received service.

On or around September 30, 2002, an action styled Beeler, et al. v. AT&T Cellular Services, Inc., et al. was filed in the U.S. District Court for the Northern District of Illinois (Case No. 02C 6975). This complaint is similar to the Millen complaint field in the U.S. District Court for the District of Massachusetts. Cingular has received service.